

Personal Investing

The Fidelity SIPP

Third Party Application form

Use this form to:

 apply for a Fidelity SIPP with a single or regular payment from your spouse/civil partner or any other third party. You will also be able to make payments, should you wish to do so, once the account has been opened.

Don't use this form if:

- you are making payments/transfers to your pension
- your employer is making payments to your pension
- · you are applying for a Junior SIPP.

Before you fill in this form:

• make sure you read the important documents shown in the box to the right.

How to fill in this form

- please use black ink and write clearly inside the boxes provided using capital letters
- ullet mark your answers with a cross in the appropriate box like this: old X
- if you make a mistake, please correct it but don't use correction fluid.

Checklist

	Check that you have answered all the relevant questions
	If a single contribution is being made by cheque, remember to attach the cheque(s) as per section 4.
	If regular contributions are being made, remember to complete and sign the Direct Debit Instruction(s) in section 4.
	Please ensure that this cheque payment is separate to other payments that are made to us. Building Society and Bank Draft payments must bear a reference to confirm that this is the spouse/civil partner or any other third party's money. Please ensure their name is clearly added by the issuing bank only, on the face of the cheque
	Read and sign the Declaration in section 6.

What's next?



Remove the Direct Debit Guarantee, then send your completed form to:

Fidelity PO Box 391 Tadworth KT20 9FU



Further information on fidelity.co.uk

You should read these documents before completing this form. You will find them at **fidelity.co.uk/sippinfo**

- Terms and Conditions this document governs our relationship with you and forms the agreement between us in relation to the Fidelity SIPP.
- Key Features Document this summarises everything you need to know about the Fidelity SIPP
- When choosing your funds make sure you read the key information document which sets out key information about the fund including the objectives and risks, what it invests in and its charges.

Want to talk to us?

Freephone **0800 358 7480**

Mon-Fri 8am-6pm and Sat 9am-6pm

\subset
_
. 1
~
-
-
-
-
22
-
۲.
-
0
$\overline{}$

About you
Your details
Title
Mr Mrs Other:
Surname
First and other names in full
Gender
Male Female
Date of birth (DDMMYYYY) Town of birth
Country of birth
Employment status
Employed Self-employed Unemployed Full-time education
Pensioner Caring for children under 16 Other - please specify below.
Do you have a National Insurance (NI) number? You can find this on a payslip or a letter from HMRC or DWP.
No Yes -
Are you a UK National only? (Please mark an X in the box)
Are you a UK National and National of one or more other countries? (Please mark an X in the box and list all other countries below)
Are you a National of Non-UK countries only?
(Please mark an X in the box and list all other countries below) Nationality 1
Nationality 1 Nationality 2
N. c. v. Z
Nationality 3 Nationality 4
Driving Licence number (if applicable - 18 characters as shown on your photocard)
Phone numbers
Home
Home

PEN

About you (continued)

	-			/																												
		se nu	mbe	er/nc	ıme	-		1									Т		I			T	Π		T	T				Τ	T	1
	Stree	et, cit	y, cc	unty	an	nd c	our	ntry I									_							_	_	_				_	_	_
						l											$\frac{1}{1}$				l						\top	\Box		<u> </u>	$\frac{\bot}{\top}$	$\frac{\perp}{\perp}$
																						Рс	stcc	ode								
	Υοι	Jr a	nn	ual	a	llo	W	an	ce																							
	Hav	ve y	ou l	bee	n i	not	tifie	ed	by	an	y c	of y	ou/	r p	en	sio	ıρ	ro	vid	ler	s t	ha	t th	е	am	າວເ	ınt	t y	ΟU	J C	an	
		int							•		•	•		•			•											,				
	J- 5	,	-	P											- ,																	
		Yes					٦,	10																								
		168					_ ''	NO.																								
	lf v.c	ur ~-	nu.	مااء		ına	s ic	th a	N 1 -	nno:	, D	rch	000	۸ ۰	2110	 	NA/C	no	~ · · ·	ho-	الم	ا+ لہ:	nio ^L	200	~~		√tt~	√+:-		fro	m	
	If your annual allowance is the Money Purchase Annual Allowance when did this become effective from: Date																															
		<i>;</i>	1					١,				^^																				
								(טט	MM	IYY	rr)																				
	1 1111←	; IVI()[~ ^			۱۱) II 🗲							ion	n r n ı	<i>ii</i> ~ <i>i.</i>	or 0	h ~ .		h 011	·~ + ·	~ ~				Th	~	مانہ)
		J, you										JIIC	- you	J1 F	ens	sion	prov	/ide	er s	hou		hav	e to	old	you				abb	plie		
	уо		mu	st inf	orn	n us	s in	this	se	ctio	n.										ıld			old	you				abk	olie	25 (0	
	Spo	J, you	e/c	ivil	p	ar'	s in	this	se	ctio	n.										ıld			old	you				abk	plie	25 10	
	Spo	J, you	e/c	ivil	p ioi	n us	tne	this	or	ot	n. he	r t	hir	d	pa	rty	cc	ni	tril	οu	tic	ons	>			J. If	thi	is o				
	Spo You Due	ous ous	e/c	ivil	p ioi	ar ar ns	tne	this er	or we	ot	n. he	r t	hir	d	pa	rty	cc	ni	tril	οu	tic	ons	>			J. If	thi	is o				
	Spo You Due we d	OUS Ur co	e/contri	ivil	p ioi rec	ns quir	tne	this er	or we	ot	n. he	r t	hir	d	pa	rty	cc	ni	tril	οu	tic	ons	>			J. If	thi	is o				
	Spo You Due we d	DUSC Ur co to re can a	e/contri	ivil	p ioi recur d	ar ns quir app	tneeme	this er	or or	ot ot	he	r t	hire	d	pa	rty	cc	ni	trik	OU S SO	tic	ons	f yo	our (J. If	thi	is c	s b	pefo	ore	
	Spo You Due we d	DUSC Ur co to re	e/contri	ivil	p ioi recur d	ar ns quir app	tneeme	this er	or or	ot ot	he	r t	hir	d	pa	rty	cc	ni	trik	OU S SO	tic	ons	>	our (J. If	thi	is c	s b	pefo		
	Spo You Due we d	DUSC Ur co to re can a	e/contri	ivil	p ioi recur d	ar ns quir app	tneeme	this er	or s ween.	ot mu	he ust c	r t	hire	d	pa	rty	abo	ni	trik the	SOUTH SOUTH	uld tic	ons Prop	f yo	y y	con	J. If	thi	is c	s b	pefo	ore	
	Spo You Due we d	DUSC Ur co to re can a rce c	e/contri	ivil	pion recour de sal	ar app	tneeme	ents	or s ween.	ot mu	he ust c	r t	hire	d	pa	rty	abo	ni	trik the	SOUTH SOUTH	uld tic	ons Prop	of you	y y	con	J. If	thi	is c	s b	pefo	ore	
	Spo You Due we d	Jr co to recan a	e/contri	ivil	pion recour de sal	ar app	tneeme	ents	or s ween.	ot mu	he ust c	r t	hire	d	pa	rty	abo	ni	trik the	SOUTH SOUTH	uld tic	ons Prop	of you	y y	con	J. If	thi	is c	s b	oefo	ore	
	You Due Sou	DUSC Ur co to re can a rce c	e/contri	ivil ibut itory by you	Pion recour of sall	ary	emeent	ents	or s ween.	ot mu	he ust c	r t	hire	d	pa	rty	abo	ni	trik the	SOUTH SOUTH	uld tic	ons Prop	of you	y y	con	J. If	thi	is c	s b	oefo	ore	
I	You Due we co	DUSC Ur cc to recan a rce c Inco	e/contri	ivil ivil ibut itory by you	pion recurred ves sal	ar use	eme blicc	er ents	or s ween.	ot ot	ne he	r t	hire diameter in the street in	d nfo	oc rmc	rty	abo	ont	the Sa	Solution state of the state of	tic of F	ons Prop from	of you	y Cor	con	u. If	thi	is c	s b	oefo	ore	
	You Due we co	DUST CC to recan a rece con a Gift Other Steel	e/contribution	ivil ivil ibut itory by you	pion recour de saluti	ary car car car cap cap cap cap cap	emeent emt	ents ents	or s we see a see	otlor	ner f	r t	hire diameter in the street in	d nfor	pa rmc	nsfe	abo	ont	the Sa	Solution state of the state of	tic of F	ons Prop from	of you	y Cor	con	u. If	thi	is c	s b	oefo	ore	
	You Due we co Sou Sine Doe	DUST CC to recan a rece con a Gift Other Steel	pontri gulcaccep of thi me f	ivil ivil ibut itory by ot you	pion recurred wes sall e s uti	ary Car The capped ary Con The capped ary	emeent entities	ents	or s we see a see	otlor	ner f	r t	hire lementstme	d nfor	pa rmc	nsfe	abo	ont	the Sa	Solution states and the states are second to t	tic of F	ons Propropropropropropropropropropropropropr	of you	y Cor	con	u. If	thi	is c	s b	oefo	ore	

About the spouse/civil partner or other third party (continued)

address of the spouse/civil partner or other third party on the reverse of the cheque/draft and adds their stamp.

4	Spouse/civil partner or other third party contributions (continued)							
4.3 4.3.1	Regular contributions Does the spouse/civil partner or other third party want to make regular contributions?							
No - go to section 5: Your investment choices ► Yes - what is the regular amount they want to pay into your plan? (min £50) £ **Men would you like your regular contributions be taken from your bank account?**								
ŧ.3.Z	1st 10th 25th							
	Please allow twelve working days for us to set up your Regular Savings Plan. Collections will then start on the next available payment date and follow the frequency you have selected.							
4.3.3	How often would you like payments to contribute?							
	Monthly Quarterly Every 6 Months Annually							

We are currently changing our administration system. Please complete both of the attached Direct Debit forms on the next page. We will then set up your regular contribution using only those details necessary to complete your instruction.

We are currently changing our administration system. Please complete both of the attached Direct Debit forms on this page. We will then set up your regular contribution using only those details necessary to complete your instruction.



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to: Fidelity, PO Box 391, Tadworth, KT20 9FU.

To: The Manager	Bank/building society		_						
		_	3	9	2	4	1		
Address									
	Postcode	Reference	e					 	_
ame(s) of account holder(s)		_							
ınk/building society account number					t this Instru Is will be p				
ranch sort code		Signature(s)						
		Date							



Instruction to your bank or building society to pay by Direct Debit



DDI2

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Roy 391 Tadworth, KT20 9FLL

To: The Manag	er				Во	ınk/building	society
Address							
					Postco	ode	
lame(s) of a	ccoun	t holder(s)				
Bank/buildin	g soci	ety acco	unt numb	er			
Branch sort o	ode						
			1	ı		1	

Sando	Hear	Number	
service	oser	number	

4 4 9 7 0 6

Reference

- 1										
- 1		 								
- 1										
- 1										
- 1		 								
- 1										
- 1								1		 ı

Instruction to your bank or building society

Please pay FIL SIPP Trustee (UK) Limited. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with FIL SIPP Trustee (UK) Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DDI2

5 Your investment choices

5.1 About investing your contributions

Once we hold your money in cash, we will follow your investment instructions below. If you want to invest in exchange-traded investments (ETIs), you can do this online once your money is in your account.

Need help choosing investments? We offer a wide range of investment options for your personal pension. If you don't know where to start and need some support, there's Retirement Builder - a single fund idea for your pension. Information about Retirement Builder is available alongside this form. All our investment options are available to view on our website: **fidelity.co.uk/sipp-investments**

Please ensure you include the fund code as your fund choice will be derived from the fund code and not the fund name. These can be found on each fund fact sheet at **fidelity.co.uk**

Should any of your new funds pay income, then income payments will be automatically reinvested on your account.

EXAMPLE OF HOW TO COMPLETE THIS SECTION:

Fund code	Fund name	Single contribution	Regular contribution
WAMA	Fidelity American Fund W Acc	1 0 0 %	6 0 %
JUSIA	Jupiter Strategic Bond I Class Acc	<u></u> %	4 0 %

Fund code	Fund name	Single contribution	Regular contribution
		%	%
		<u></u> %	<u></u> %
		<u></u> %	<u></u> %
		<u></u> %	<u></u> %
		<u></u> %	<u></u> %
		<u></u> %	<u></u> %
		<u>%</u>	<u></u> %
		<u>%</u>	<u></u> %
		<u>%</u>	<u></u> %
	Amount to be paid into cash within my account	%	<u>%</u>
	Totals should add up to 100%	100%	100%

6 Your application summary

6.1 Occupational scheme opt in/out

6.1.1 Have you opted out of an occupational pension scheme or are you planning to opt out of an occupational pension scheme in favour of making payments to this plan?

	Voc		Nic
	100	l	110

6.2 Declarations

I confirm that where I do not understand any or all of the information relating to my application for the Fidelity SIPP, I will seek suitable advice before proceeding.

In relation to my application for the Fidelity SIPP, I make the declarations set out below

I declare that:

- I have been given copies of the Fidelity SIPP
 Terms & Conditions, Key Features Document and
 key information documents relevant to the chosen
 fund(s) to read, and acknowledge that I have read
 these documents and will be bound by them (as
 may be amended from time to time) in the event of
 my application being successful.
- I agree to pay all the charges related to the Fidelity SIPP as set out on the Key Features Document
- I confirm that the information I have provided on the application, and any other documents completed in connection with this application, is correct, complete and not misleading.
- If I have selected Retirement Builder as my investment choice - I understand that the fund that Retirement Builder currently invests in may be swapped for an alternative fund following periodic reviews. If this happens, I agree to my investment being automatically switched into the replacement fund. Fidelity will inform me once this has been done.
- I confirm that I will not request the withdrawal of monies held in my Fidelity SIPP Account to provide benefits for me or any income or distribution earned on those monies, other than in accordance with the Rules (as this term is defined in the Fidelity SIPP Terms & Conditions)
- I confirm that any penalty or tax charge arising as a result of an unauthorised payment being made, except as a result of an error or omission in the part of the Fidelity SIPP Scheme Administrator (as this term is defined in the Fidelity SIPP Terms & Conditions), can be deducted from my Fidelity SIPP Account and paid to the Revenue. If there are insufficient funds in my Fidelity SIPP Account to cover this amount, I agree to pay the Scheme Administrator the balance of the penalty and/or tax charge.
- If contributions are to be paid:
 - a) I am under age 75 and a relevant UK individual under Section 189 of Finance Act 2004 and not a US person
 - b) The total of the member contributions paid to my Fidelity SIPP and to other registered pension schemes, on which I am entitled to tax relief, under Section 188 of Finance Act 2004, will not exceed, in any tax year, the higher of:

- The basic amount (currently £3,600 gross); or
- 100% of my relevant UK earnings (as defined in Section 189 of Finance Act 2004 in the tax year);
- c) the declaration in b) is correct, to the best of my knowledge and belief;
- d) I will give notice to the Scheme Administrator if an event occurs, as a result of which I will no longer be entitled to relief on my contributions, under section 188 of Finance Act 2004. I will give this notice by the later of:
 - end of the tax year in which the event occurs;
 - 30 days of the event
- I confirm that I have read the data protection statement set out in the Fidelity SIPP Terms & Conditions and agree that my personal data (including any sensitive data) may be used for the purposes described (subject to me exercising my right not to be contacted with details of other products and services).
- I am aware that you may make searches at credit or electronic reference agencies, for the purpose of verifying my identity. I am also aware that the credit reference agencies will record details of the search whether or not my application is successful and that this is not a credit check and will not be used or seen by lenders to assess my ability to obtain future credit.
- Where I have made this application electronically in accordance with the Electronics Communications Act 2000, please accept a copy of this declaration as my authority to release all necessary information to Fidelity.
- I confirm that I have not received advice from Fidelity in connection with this application

Marketing preference

The Fidelity International Group would like to contact you from time to time about investment products and services that we feel may be of interest to you. If you would prefer not to receive these updates, please mark the boxes below. You can opt out of these communications at any time.

con	nmunications at any time.
	Do not send me updates by email
	Do not send me updates by post or phone

Your signature

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature

Date signed	

Date signed								
(DDMMYYYY								

The Direct Debit Guarantee



- · This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Financial Administration Services Ltd will
 notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Financial
 Administration Services Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of
 the request.
- If an error is made in the payment of your Direct Debit, by Financial Administration Services Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society If you receive a refund you are not entitled to, you must pay it back when Financial Administration Services Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

The Direct Debit Guarantee



- · This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, FIL SIPP Trustee (UK) Limited will notify
 you ten working days in advance of your account being debited or as otherwise agreed. If you request FIL SIPP Trustee
 (UK) Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by FIL SIPP Trustee (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society If you receive a refund you are not entitled to, you must pay it back when FIL SIPP Trustee (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.